

03/30/01

J1044 U.S. PTO

4-2-1

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 909-4797

First Named Inventor or Application Identifier

Naoki Nagasako

Express Mail Label No: EL281360815US

J1036 U.S. PTO  
09/022575

10/30/01

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification Total Pages 10
  2. ☒ Drawing(s) (35USC 113) Total Pages 4
  3. ☒ Declaration and Power of Attorney Total Pages 2
    - a. ☐ Unexecuted(original or copy)
    - b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☒ Assignment Papers (cover sheet & documentation)  
Sony Corporation
6. ☐ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically Itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application, Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No. P2000-095947 filed March 30, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS		7		\$18.00	0.00
INDEPENDENT CLAIMS		2		80.00	0.00
	ANY MULTIPLE DEPENDENT CLAIMS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO			270.00	270.00
				TOTAL FEE	\$980.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$980.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
P.O. Box #061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606  
Telephone 312/876-8000  
Customer ID #26263

SIGNATURE

David R. Metzger (Reg. No. 32,919)

Date: March 30, 2001

RECORDATION FORM COVER SHEET  
PATENTS ONLY1/31/92  
Office

Patent and Trademark

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

NAOKI NAGASAKO

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Sony Corporation

Internal Address: \_\_\_\_\_

7-35, Kitashinagawa 6-chomeShinagawa-ku, Tokyo 141, Japan

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Additional Name(s) & Address(es) attached ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of

Name

☐ Other \_\_\_\_\_Execution Date February 24, 2001

## 4. Application (number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:  
2/24/01

A. Patent Application No.(s)

B: Patent No.(s)

Attorney Docket No. 09792909-4797

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SONNENSCHN NATH &amp; ROSENTHAL

P.O. Box #061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Customer ID No. 26263

## 6. Total number of applications and patents involved

17. Total Fee (37 CFR 3.41) ..... \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account any  
Additional fees

## 8. Deposit Account Number:

19.3140

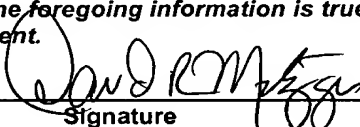
(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*David R. Metzger

Name of Person Signing

  
SignatureMarch 30, 2001

Date

Total number of pages 2

Mail documents to be recorded and required cover sheet information to:

Commissioner of Patents and Trademarks

Box Assignments

Washington, D.C. 20231+

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: March 30, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Naoki Nagasako

Attorney Docket No. 09792909-4797

\$980.00 filing fee (7 claims - 2 independent w/multiple)

Drawings (4 sheets - Figs 1-5)

Executed Declaration

Executed Assignment, PTO Form 1595 and \$40.00 recording fee

Certified copy of Japanese priority document No. P2000-095947

Return postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees

09792909-4797